IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No...Not Yet Assigned
Filing Date...Herewith
Inventorship...Fee
Applicant... Microsoft Corporation
Attorney's Docket No. MS1-1809US
Title: Evidence Based Application Security

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

To: Commissioner of Patents and Trademarks,
           Washington, D.C. 20231

From: Mark D. Trenner (Tel. 509-324-9256; Fax 509-323-8979)
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disclosure under 37 CFR §1.56.

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Dated: 11-10-03   By: Mark D. Trenner

                                   Mark D. Trenner
                                   Reg. No. 43961

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*			Application Number	Not Yet Assigned
			Filing Date	Not Yet Assigned
			First Named Inventor	Fee
			Group Art Unit	Not Yet Assigned
			Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	MS1-1809US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2003/0041267		Fee et al.	02/27/2003	
		2003/0196121		Raley et al.	10/16/2003	
		20030196091		Raley et al.	10/16/2003	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

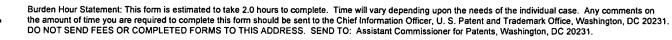| Examiner Signature		Date Considered	

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[1] Unique citation designation number. [2] See attached Kinds of U.S. Patent Documents. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO	Complete if Kn wn	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	Not Yet Assigned
	Filing Date	Not Yet Assigned
	First Named Inventor	Fee
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned

Sheet	2	of	2	Attorney Docket Number	MS1-1809US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		"Decentralized Trust Management", by M. Blaze, J. Feigenbaum, J. Lacy, in Proceedings of the 1996 IEEE Symposium on Security and Privacy, pp. 164-173. Also available as a DIMACS Technical Report. This paper describes PolicyMaker. Available in Postscript at http://www.	
		"Proceedings of the Sixth International World Wide Web Conference", Santa Clara, CA, April 1997, by Y. Chu, J. Fiegenbaum, B. LaMacchia, P. Resnick, M. Strauss, REFEREE: Trust Management for Web Applications. Available from http://www.farcaster.com/papers/www6-referee/index.htm.	
		"The Role of Trust Management in Distributed System Security", M. Blaze, J. Feigenbaum, J. Ionnidis, A. Keromytis, Secure Internet Programming: Security Issues for Distributed and Mobile Objects, Lecture Note sin COmputer Science, vol. 1603, Springer, Gerlin, 1999, pp. 185-210.	
		"Managing Trust in an Information-Labeling System", European Transactions on Telecommunications, 8 (1997), pp. 491-501. (Special issue of selected papers from the 1996 Amalfi Conference on Secure Communication in Networks.) Postscript from http://www.research.att.com/	
		"The Evolution of Java Security", by Koved, Nadalin, Neal and Lawson, including information on Java-based systems, IBM	
		Information on KeyNote including: "The KeyNote Trust-Management System: from RFC 2704, at http://www.cis.upenn.edu/~angelos/keynote.html; and "Using the KeyNote Trust Management System" by Matt Blaze, at http://www.crypto.com/trustmgt/.	
		"Trust management on the World Wide Web", by Khare and Rifkin, at http://www7.scu.edu.au/ programme/posters/1902/com1902.htm.	
		"Compliance Checking in the PolicyMaker Trust Management System", by Blaze, Feigenbaum and Strauss, AT&T Labs-Research.	
		"Program Specialization and Partial Evaluation", 3 pages printed from http://www.diku.dk/research-groups/topps/activities/PartialEvaluation.html.	

Examiner Signature		Date Considered	

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